

From Multiple-Criteria Decision Aid to Preference Learning

16, 17 April - Brussels, Belgium

Assessing inhabitants' preference towards Energy
Community participation: a preference learning approach

Cavana Giulio, Bottero Marta, Becchio Cristina, Fancello Giovanna and Tsoukias Alexis

Introduction_Renewable energy communities

REC means a legal entity based on voluntary participation of natural persons, SMEs or local authorities engaging in renewable energy projects. RECs are allowed to produce, consume, store and sell renewable energy, providing benefits for its members or for the areas where it operates.

environmental

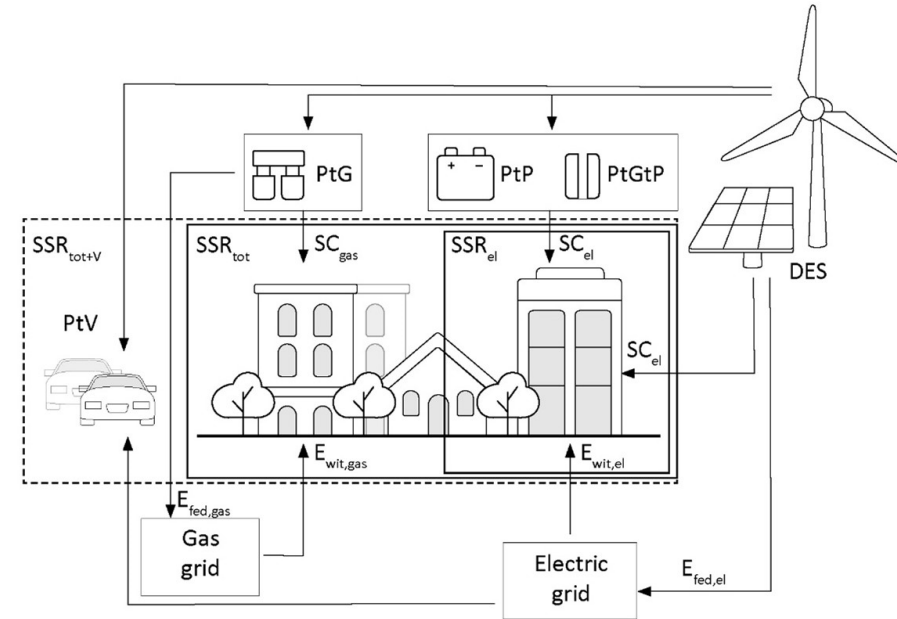
- promote RES generation
- increase energy security
- flexibility services to the grid
- promote energy efficiency
- decreasing energy consumption and emissions
- enhancing energy self-sufficiency

economic

- New jobs created
- market opportunities
- energy bills savings

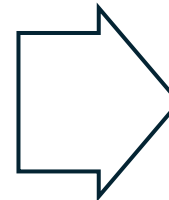
social

- citizen participation
- combating energy poverty
- affordable energy tariffs
- split incentives issues



Aim of the study

- Member States must establish an “enabling framework” to facilitate RECs formation
- economic and financial drivers that motivate consumers’ participation in RECs affecting their potential diffusion



Analyze preferences for alternative solutions at the disposal of individuals

Support DM to define policies fostering REC formation maximizing desired impacts

Research questions

Q1 } *What are the **socio-demographic characteristics** of individuals and the **performance attributes** of potential alternatives that influence inhabitants' REC participation?*

Q2 } *What is the potential of **REC diffusion at the city scale and in different parts of the city**, and which are the most probable solutions to be implemented **based on the inhabitants' preference models**?*

Q3 } *What strategies could foster the **alignment of the private initiative** with the public perspective to **maximize the positive impacts of RECs implementation**?*

Presentation outline

1 | Literature review

2 | Method

3 | Application in Turin

4 | The “Public” option

5 | Conclusions

Literature Review

Cavana G, Becchio C, Bottero M. Feasibility and evolution studies on renewable energy communities in cities. *Renewable and Sustainable Energy Reviews* 2025;213:115477.

Literature Review

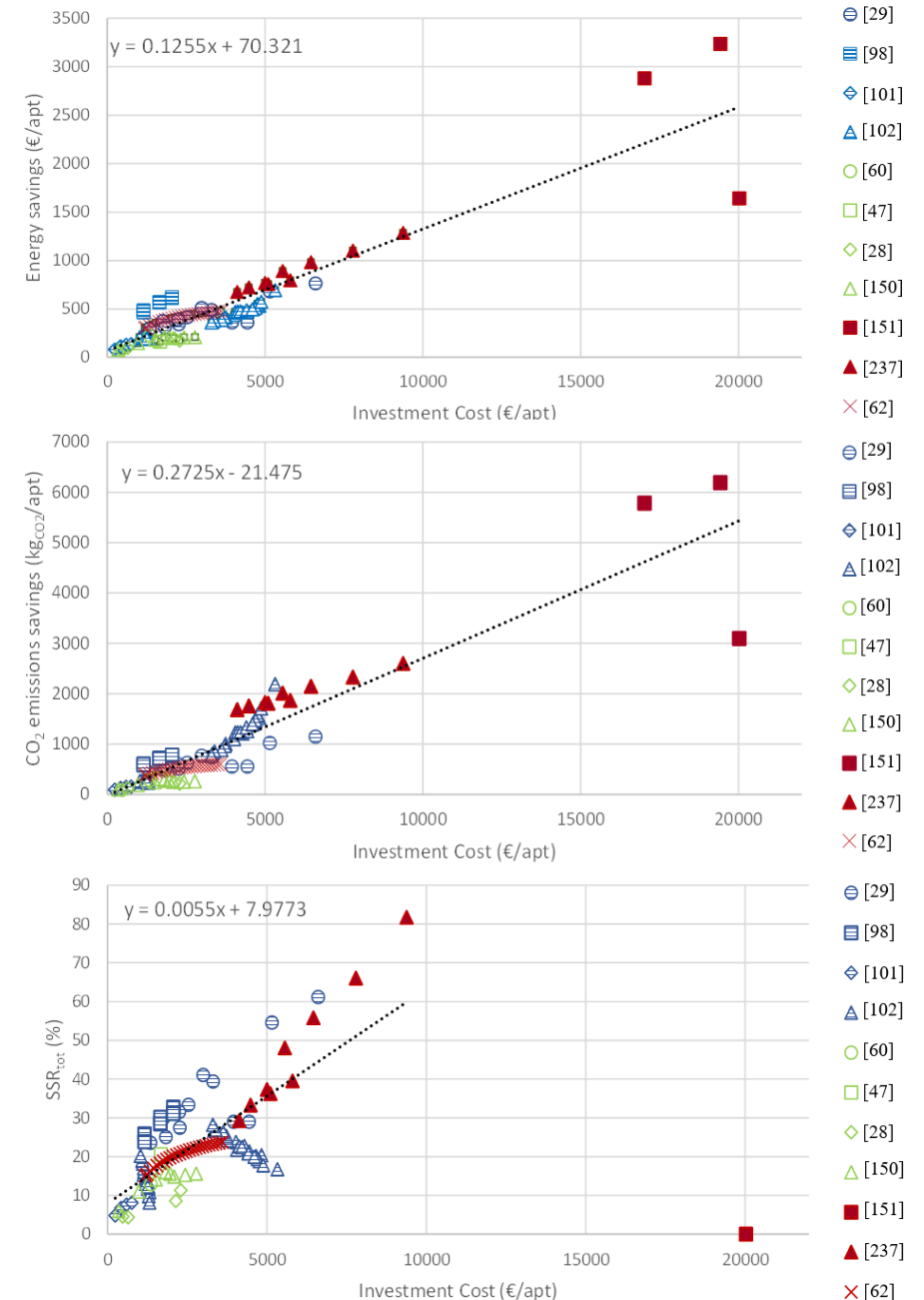
Objective:

- define a set of attributes commonly used to describe RECs (investment cost, energy savings, avoided CO₂ emissions, self-sufficiency.)
- define the range of performance of real-world alternatives

Participation in RECs is most often explained through the economic dimension.

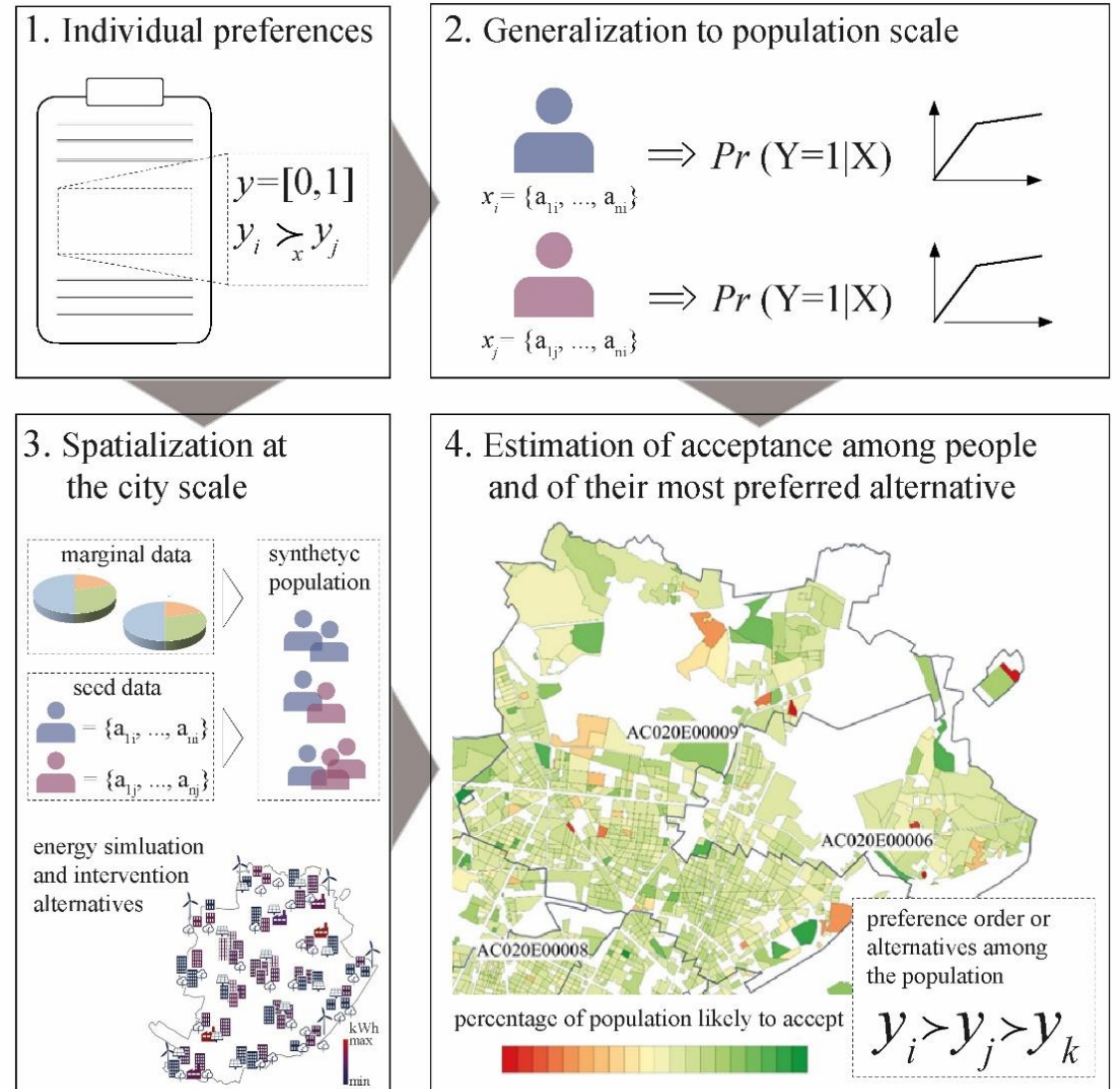
Several other dimensions that are taken into account by analysts and REC members.

Environmental concerns are among the most important reasons for participation, albeit secondary to cost savings, along with reasons for self-sufficiency and energy security.



Method

Method



Method

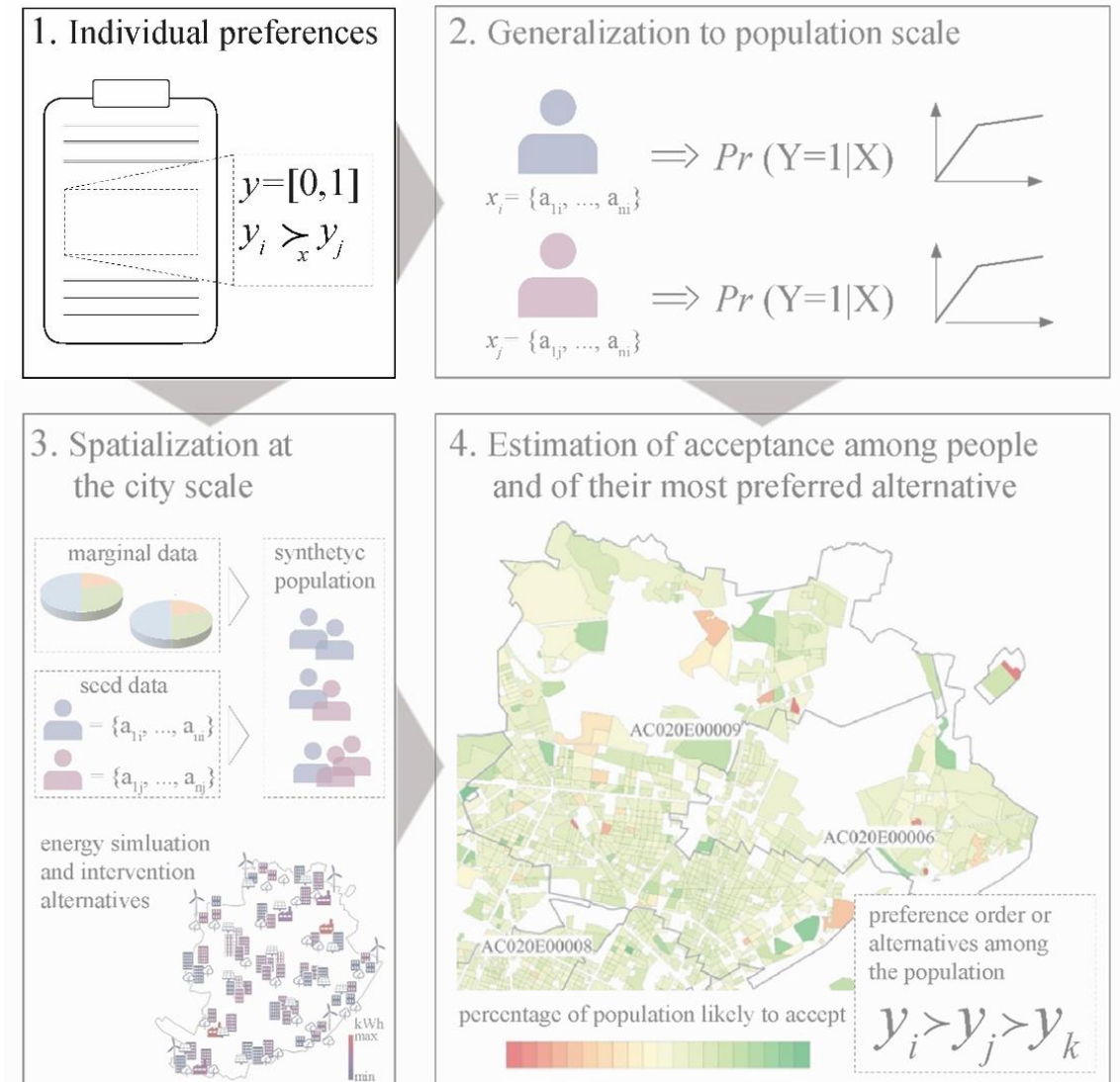
Individual preferences

① **Questionnaire distributed to collect information** regarding willingness to participate to possible RECs alternatives (described with 4 attributes)

- YES / NO
- Ranking among alternative RECs

② Two preference models are estimated:

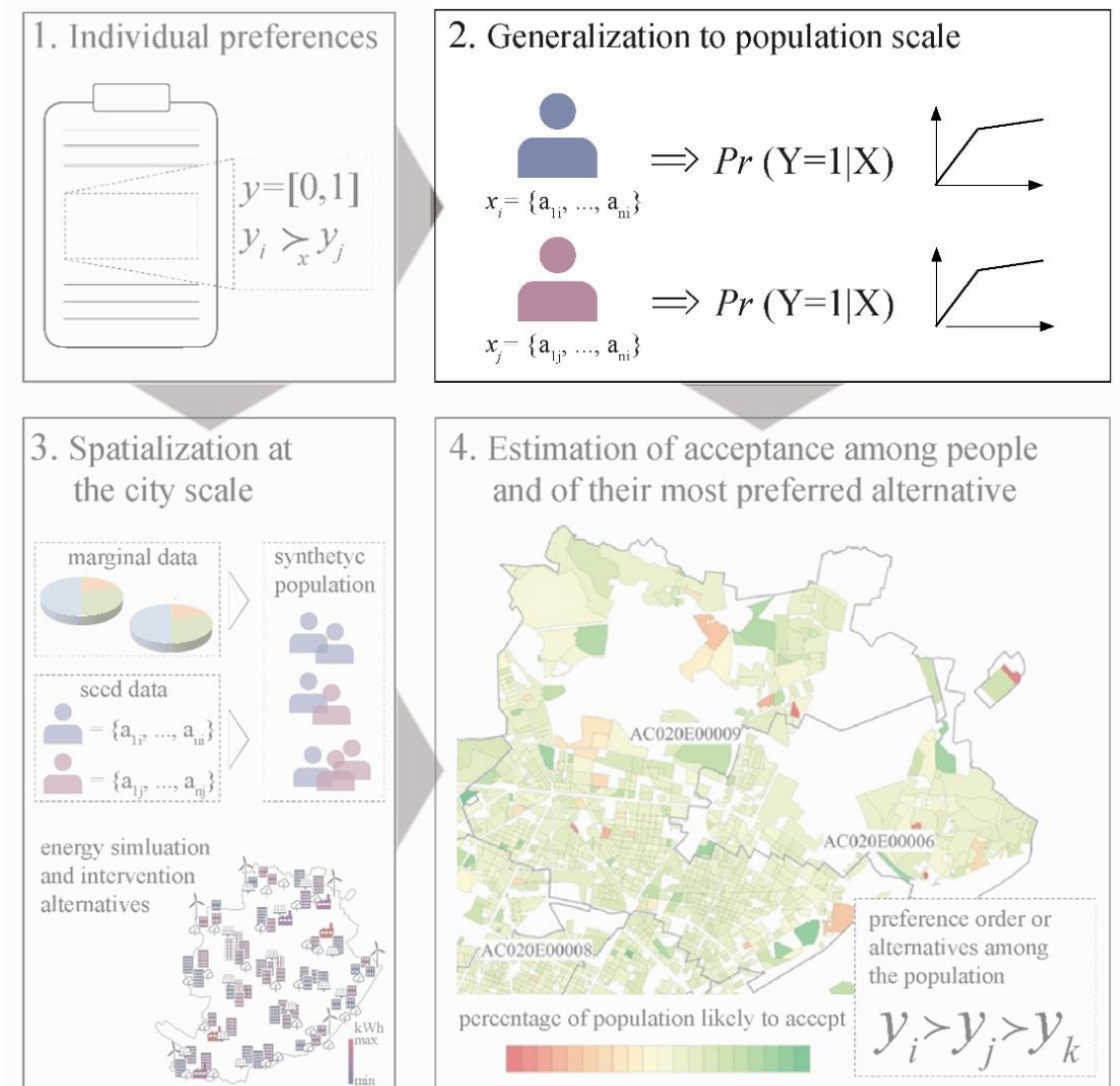
- Value function estimation applying **UTA method**
- **Generalized linear mixed models (GLMM)** to estimate the likelihood to accept the REC alternative



Method

Generalization to population scale

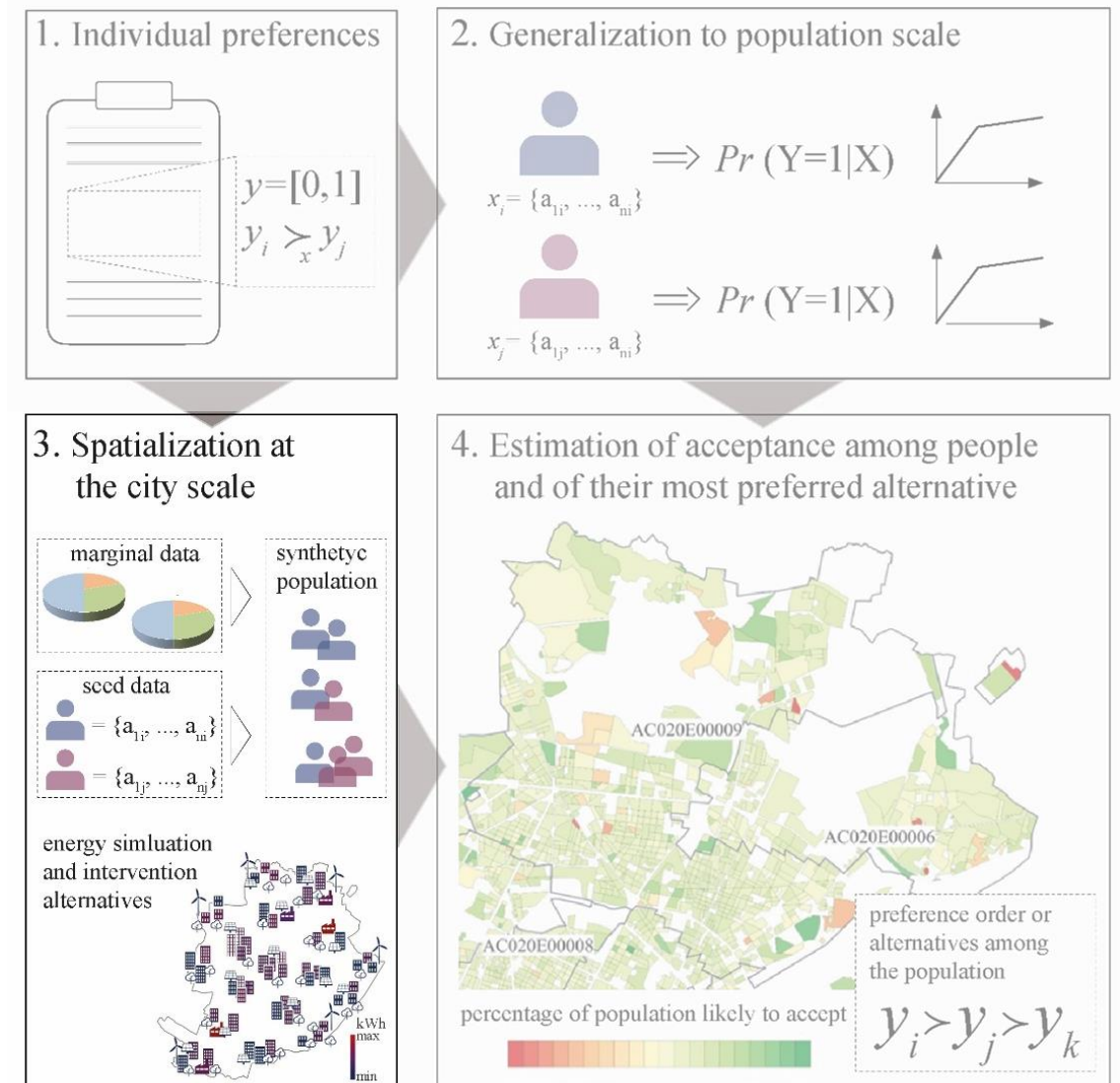
- 1 **types of individuals** are defined by **vector of socio-demographic attributes** based on the results of GLMM and using Linear mixed models to test association between socio-demographic characteristics and value functions shape
- 2 **Individuals' value functions are clustered** using an unsupervised algorithm and one **characteristic value function** is extracted for each cluster
- 3 supervised classification algorithm is used to **assign value functions to the different types of individuals** with a probabilistic approach.



Method

Spatialization at the city scale

- 1 Generation of a **synthetic population** clustered into the types of individuals
- 2 Definition of **performance levels of the possible RECs alternative configurations**

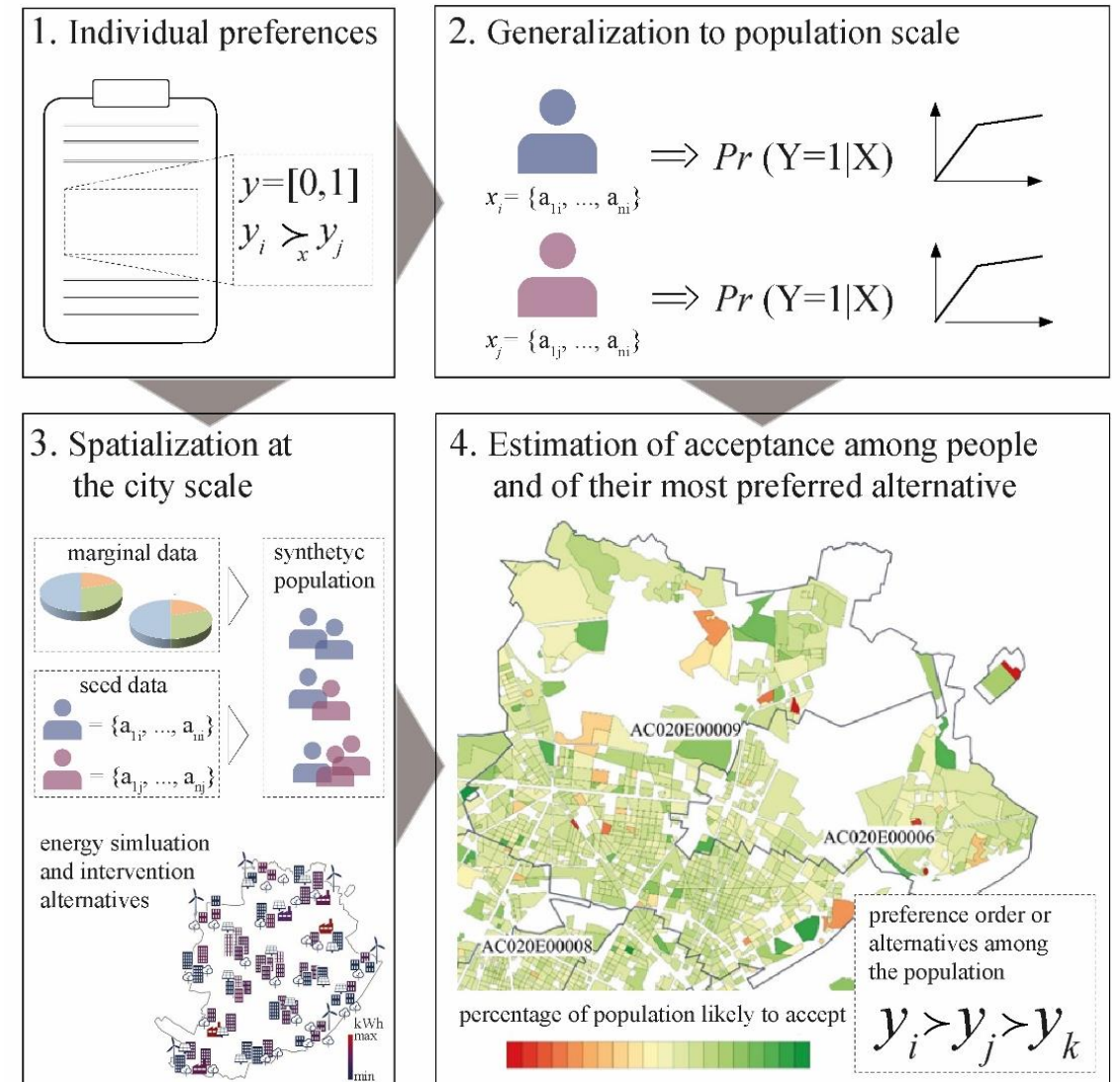


Method

Estimation of acceptance among population

The previously defined models are used to:

- **estimate the likelihood of the urban population to accept a possible REC configuration**
- **estimate the preferred alternative** among the available set of possible configuration at the disposal of the individuals.



Application

Step 1_ Questionnaire

1. Introduction:

4-point Likert scale questions to gather respondents' inclination toward RECs and their possible economic, environmental, and social benefits

2. Choice:

- if they would accept-maybe accept-not accept to participate in REC alternatives.
- to rank the alternatives they defined as acceptable and maybe acceptable

3. Socio-demographic characteristics:

| |
|----------------------------------|
| Sex |
| Age group |
| Educational level |
| Profession |
| Citizenship |
| Household size |
| Property regime of the household |
| Annual income of the household |
| Household location |

The image shows a clipboard with three sections of the questionnaire. The first section is titled '1. Introduction' and is enclosed in a dashed box. The second section is titled '2. Choices' and is also enclosed in a dashed box; it contains two empty rectangular boxes on the left and two horizontal lines on the right. The third section is titled '3. Socio-demo characteristics' and is enclosed in a dashed box. The clipboard has a white tab at the top.

Step 1_Questionnaire

The set of alternatives Y for which the respondents had to express their acceptability and preference was extracted from the case studies reconstructed in the literature review on RECs.

4 attribute to describe the alternative RECs:

- investment cost,
- energy savings,
- avoided CO₂ emissions,
- self-sufficiency.

8 Alternatives

A quali delle seguenti possibili Comunità Energetiche sarebbe disposto a partecipare?

| | | |
|-------------------------|-----------------------|-------------------------------|
| Alternativa A | Costo iniziale | 9.400 € |
| | Risparmio in bolletta | 1.300 €/anno |
| | Riduzione emissioni | 2.600 kg _{CO2} /anno |
| | Autosufficienza | 82 % |

SI NO Forse

$Y_x^{(i)} = \text{Yes}$

$Y_x^{(j)} = \text{Maybe}$

$Y_x^{(k)} = \text{No}$

$$\tau_x \left(Y_x^{(i)} \right) = \left(\tau_1^{(i)} > \tau_n^{(i)} \right)$$

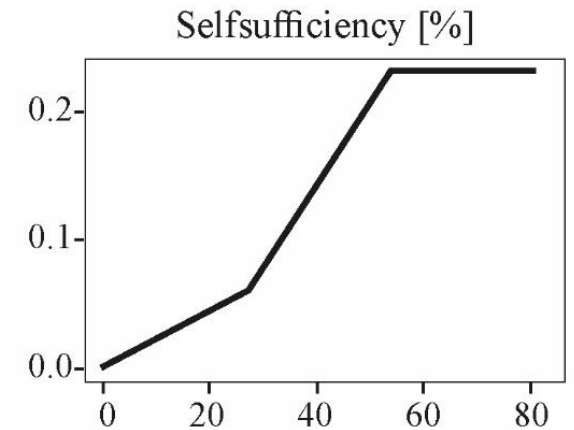
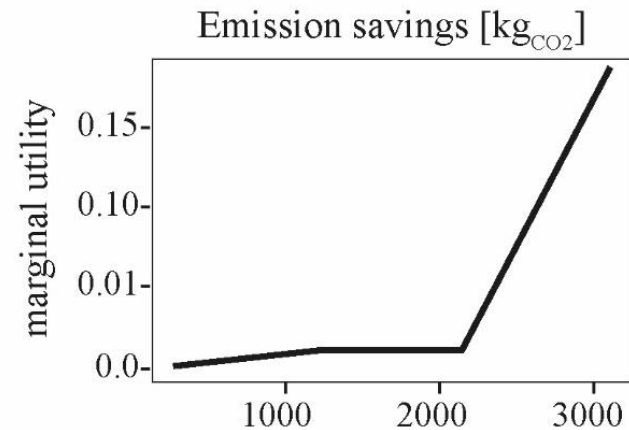
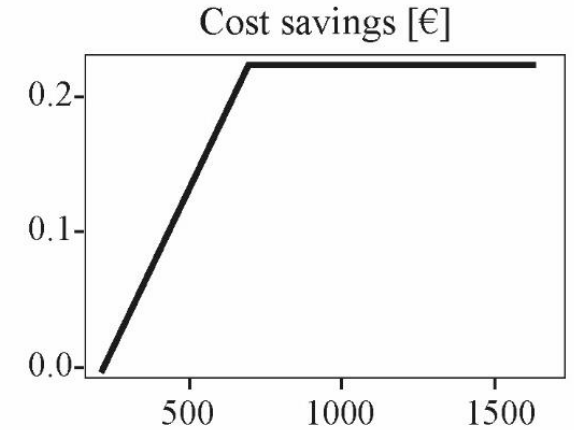
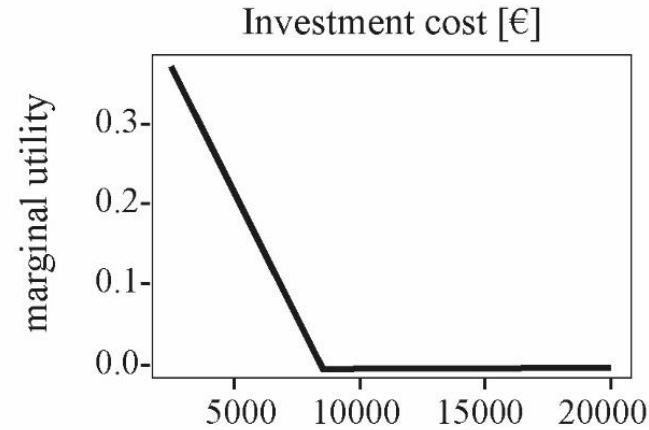
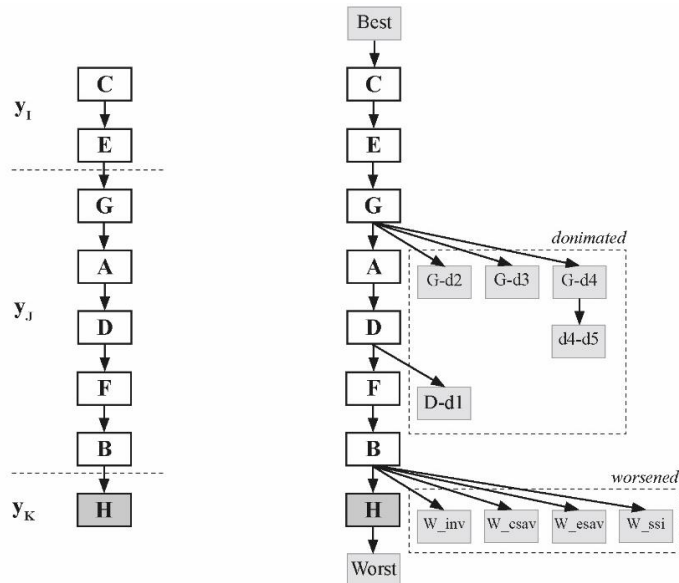
$$\tau_x \left(Y_x^{(j)} \right) = \tau_1^{(j)} > \tau_m^{(j)}$$

Step 1_ Value function estimation

UTA methods use linear programming to infer a set of piece-wise linear value functions that match a learning set. One pair of ordered alternatives on which to train the algorithm set as the minimum. Additional dummy alternatives were introduced to expand the learning set provided by each respondent.

$$\tau_x \left(Y_x^{(i)} \right) + \tau_x \left(Y_x^{(j)} \right) + Y_x^{(k)} =$$

$$\left(\tau_1^{(i)} > \tau_n^{(i)} > \tau_1^{(j)} > \tau_m^{(j)} > y_1^{(k)} \sim y_q^{(k)} \right)$$



Step 1_ Probability to participate

GLMM are used to estimate the likelihood of respondents to participate in a REC.

$$Pr_x(Y = 1) = f(A_x, P_{REC})$$

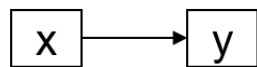
$$Y \sim \beta_0 + \beta_n P + \beta_n A + \beta_m P * A + (1|seed)$$

Fixed effect: determinant used to explain a specific output variable under study.

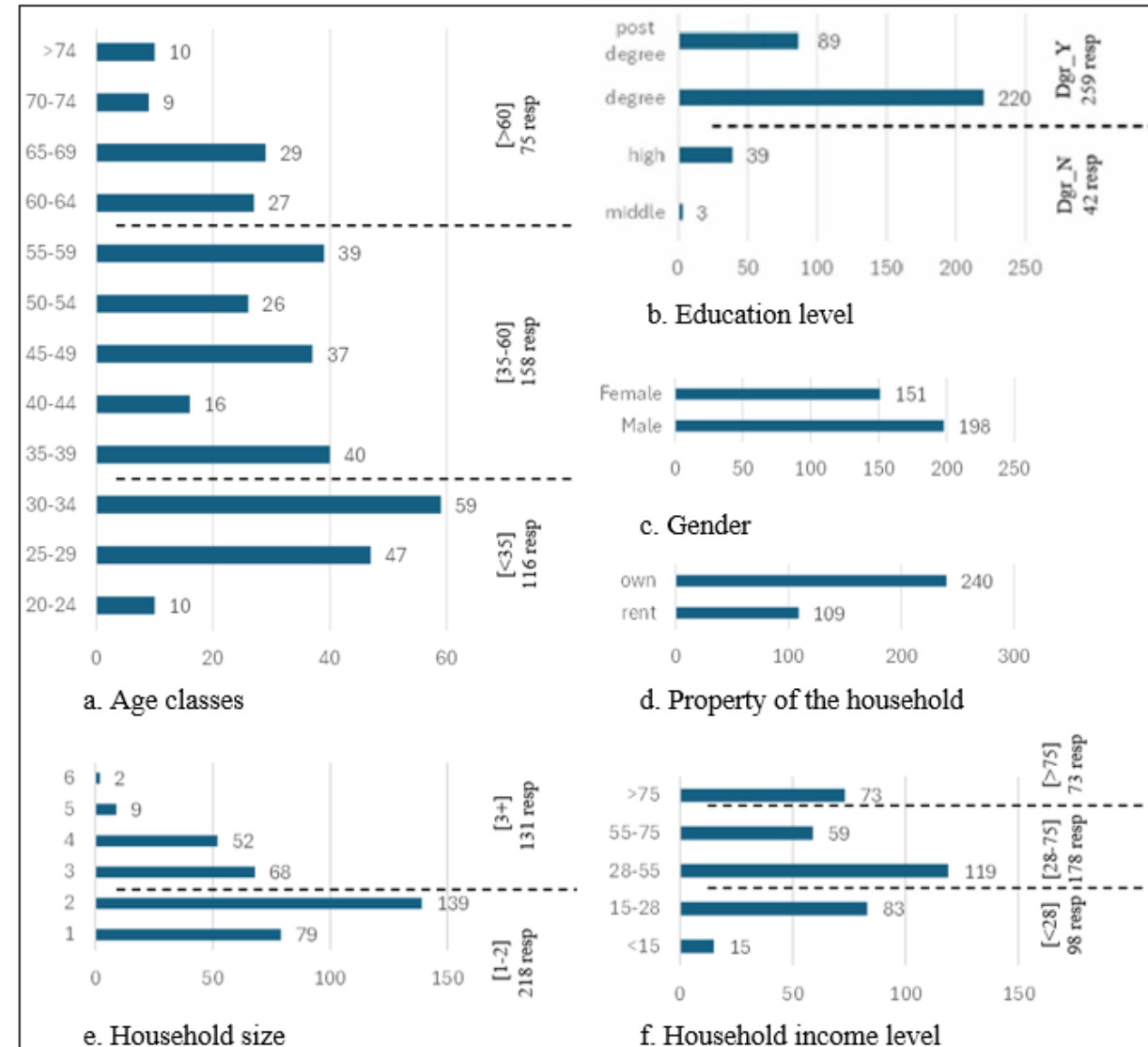
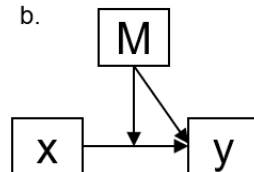
- P = vector of performance of REC attributes
- A = vector of socio-demographic characteristics of the respondent

Moderating effect of socio-demographic characteristics on the association between REC performance and participation

a.



b.

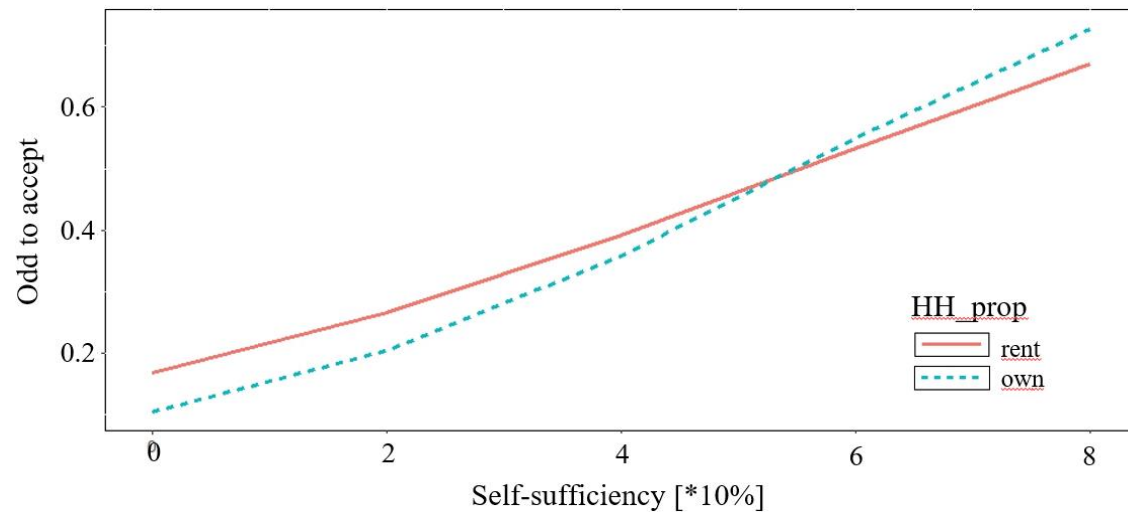


Step 1_ Probability to participate

$$Y \sim Inv_{cost} + Cost_{sav} + Cost_{sav} + Emis_{sav} + SSuff + Cost_{sav} * Gender + Cost_{sav} * HH_{num} + SSuff *_{prop} + SSuff *_{num} + (1|seed)$$

Interactions have a counterbalancing effect compared to the association between socio-demographic variables and participation.

For instance, HH_prop[own] reduces the propensity to participate. However, HH_prop[own] moderates self-sufficiency performance, increasing the likelihood of acceptance of the alternative



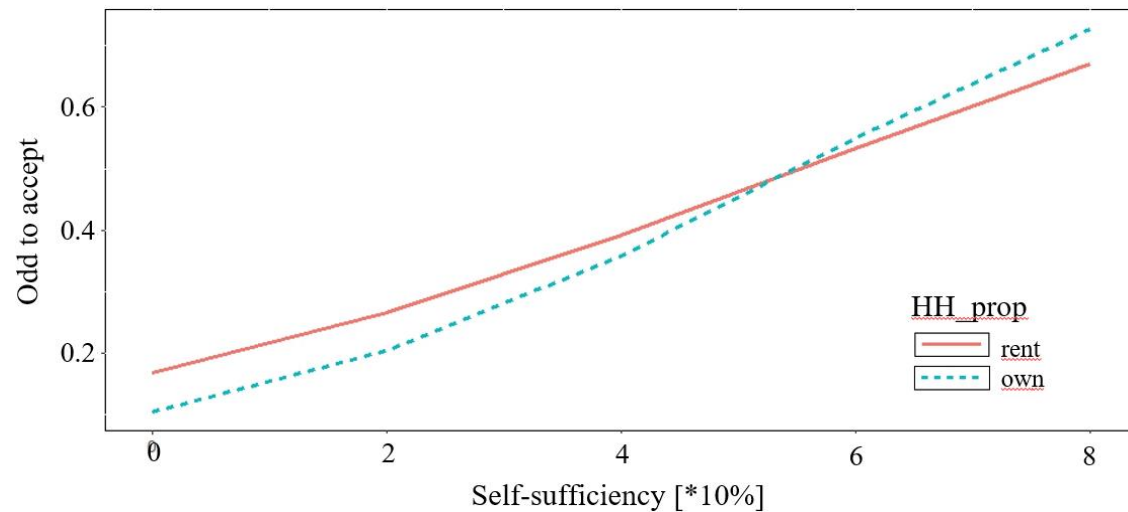
| Predictors | Model_7 | | | Model_8b | | |
|---|------------------|-----------|--------|------------------|-----------|--------|
| | OR | CI 95% | p | OR | CI 95% | p |
| <i>Numerical</i> | | | | | | |
| Intercept | 0.65 | 0.37-1.12 | 0.122 | 1.25 | 0.68-2.28 | 0.473 |
| Inv_cost (k€) | 0.75 | 0.73-0.76 | <0.001 | 0.75 | 0.73-0.76 | <0.001 |
| Cost_sav (k€) | 4.57 | 3.20-6.52 | <0.001 | 2.60 | 1.64-4.10 | <0.001 |
| Emis_sav(tCO ₂) | 1.66 | 1.47-1.89 | <0.001 | 1.68 | 1.48-1.90 | <0.001 |
| <u>SSuff</u> (%) | 1.42 | 1.38-1.47 | <0.001 | 1.29 | 1.22-1.37 | <0.001 |
| <i>Categorical</i> | | | | | | |
| Age [< 35] | ref. | | | | | |
| Age [35-60] | 0.62 | 0.43-0.90 | 0.012 | 0.63 | 0.43-0.91 | 0.014 |
| Age [60+] | 0.38 | 0.24-0.60 | <0.001 | 0.38 | 0.24-0.60 | <0.001 |
| HH_num [>3] | ref. | | | | | |
| HH_num [3+] | 0.69 | 0.50-0.95 | 0.022 | 0.35 | 0.22-0.55 | <0.001 |
| HH_inc [<28k] | ref. | | | | | |
| HH_inc [28-75k] | 1.27 | 0.90-1.80 | 0.178 | 1.27 | 0.89-1.81 | 0.180 |
| HH_inc [75k+] | 1.65 | 1.04-2.62 | 0.034 | 1.66 | 1.04-2.64 | 0.034 |
| Edu_lev [Dgr_N] | ref. | | | | | |
| Edu_lev [Dgr_Y] | 0.80 | 0.51-1.25 | 0.332 | 0.80 | 0.51-1.26 | 0.330 |
| Gender [Fem] | ref. | | | | | |
| Gender [Mal] | 0.82 | 0.62-1.10 | 0.191 | 0.60 | 0.41-0.89 | 0.010 |
| HH_prop [rent] | ref. | | | | | |
| HH_prop [own] | 0.82 | 0.58-1.16 | 0.256 | 0.57 | 0.38-0.87 | 0.009 |
| <i>Interactions</i> | | | | | | |
| Cost_sav (k€) *Gender [Mal] | | | | 1.71 | 1.11-2.62 | 0.014 |
| Cost_sav (k€) *HH_num [3+] | | | | 1.96 | 1.25-3.06 | 0.003 |
| SSuff (%) *HH_prop [own] | | | | 1.11 | 1.04-1.18 | 0.002 |
| SSuff (%) *HH_num [3+] | | | | 1.08 | 1.01-1.16 | 0.020 |
| <i>Evaluation metrics</i> | | | | | | |
| AIC | 6079 | | | 6053 | | |
| BIC | 6174 | | | 6175 | | |
| Marginal R ² / Conditional R ² | 0.590 / 0.713 | | | 0.593 / 0.717 | | |

Step 1_ Probability to participate

$$Y \sim Inv_{cost} + Cost_{sav} + Cost_{sav} + Emis_{sav} + SSuff + Cost_{sav} * Gender + Cost_{sav} * HH_{num} + SSuff *_{prop} + SSuff *_{num} + (1|seed)$$

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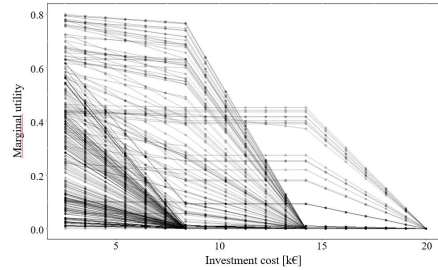
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| Edu_lev [Dgr_N] | ref. | | | | | |
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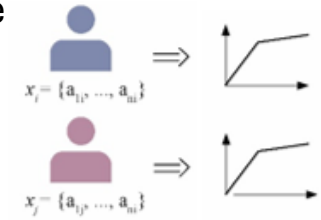
End of Step 1

Individual value functions



One set of value function
for each respondent of
the questionnaire

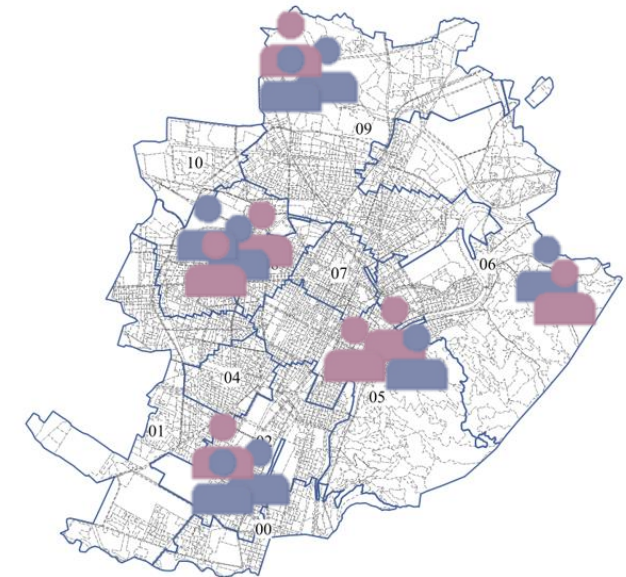
Assign one set of value
functions to each type
of individual based on
socio-demographic
vectors



Logistic model to assess YES/NO participation

$$\begin{aligned} Pr(y = 1) = \text{logit}^{-1} * & (-0.288 * \text{Inv}_{\text{cost}} + \\ & 0.955 * \text{Cost}_{\text{sav}} + \\ & 0.519 * \text{Emis}_{\text{sav}} + \\ & 0.255 * \text{Ssuff}) + \\ & (-0.462 * \text{Age}^{[35-60]} - 0.968 * \text{Age}^{[60+]} - \\ & 1.050 * \text{HH}_{\text{num}}^{[3+]} + 0.507 * \text{HH}_{\text{inc}}^{[75k+]} - \\ & 0.511 * \text{Gender}^{[\text{Mal}]} - 0.562 * \text{HH}_{\text{prop}}^{[\text{own}]}) + \\ & (+0.536 * \text{Cost}_{\text{sav}} * \text{Gender}^{[\text{Mal}]} \\ & + 0.673 * \text{Cost}_{\text{sav}} * \text{HH}_{\text{num}}^{[3+]} \\ & + 0.104 * \text{SSuff} * \text{HH}_{\text{prop}}^{[\text{own}]} \\ & + 0.077 * \text{SSuff} * \text{HH}_{\text{num}}^{[3+]}) \end{aligned}$$

Population distribution

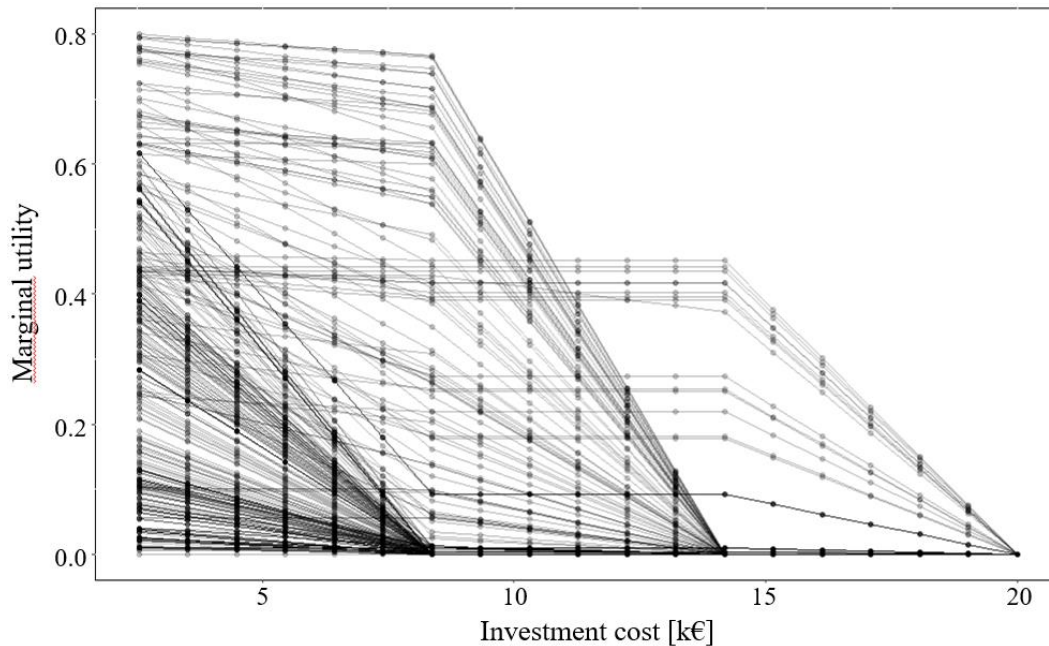


Step 2_ Types of individuals

LMM have been used to test the association between the socio-demographic characteristics and the respondents' decision models (value function shapes).

$$U_a \sim \beta_0 + \beta_1 a + \beta_n X + \beta_m a * X + (1|seed)$$

U_a : marginal utility for each performance attribute of REC,
 a : performance attributes of the alternative REC,
 X : vector of socio-demographic attributes of the respondent



Investment cost value functions of the 349 respondents. 248 are the unique value functions.

| | Age (3 cls) | Gender (2 cls) | HH_num (2 cls) | HH_inc (3 cls) | HH_prop (2 cls) | Edu_lev (2 cls) |
|----------|-------------|----------------|----------------|----------------|-----------------|-----------------|
| Inv_cost | X | X | X | X | X | - |
| Cost_sav | - | X | X | X | X | - |
| Emis_sav | - | - | X | X | X | X |
| SSuff | - | X | X | X | X | - |

Number of types = $2^4 * 3^2 =$
 144 types of individuals

Step 2_ Assignment to types of individuals

Value function clustering

unsupervised classification algorithm: K-medoids (PAM)

The partition was based on the marginal utility value of the four discontinuity points of each of the piece-wise value functions.

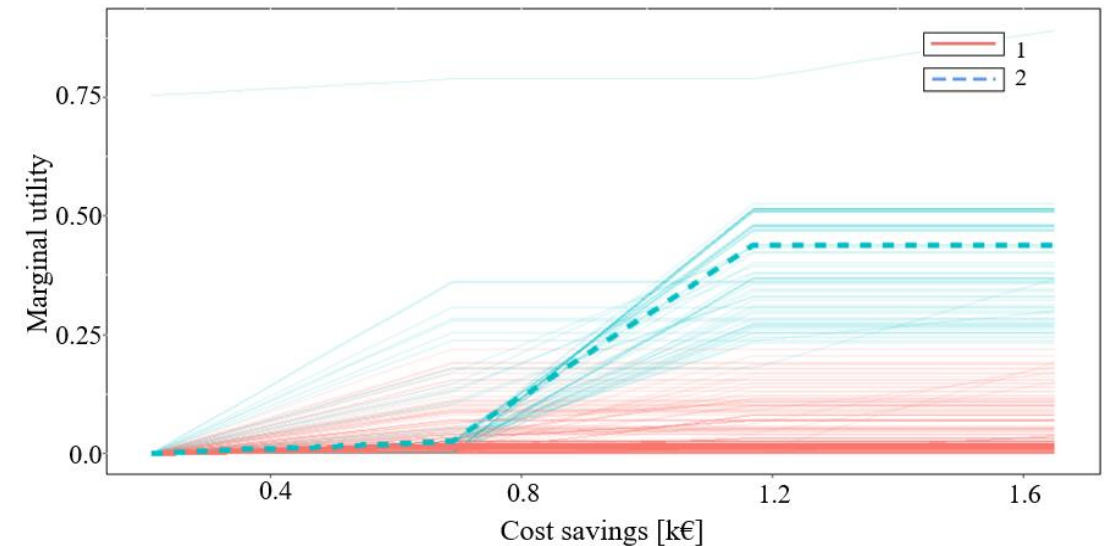
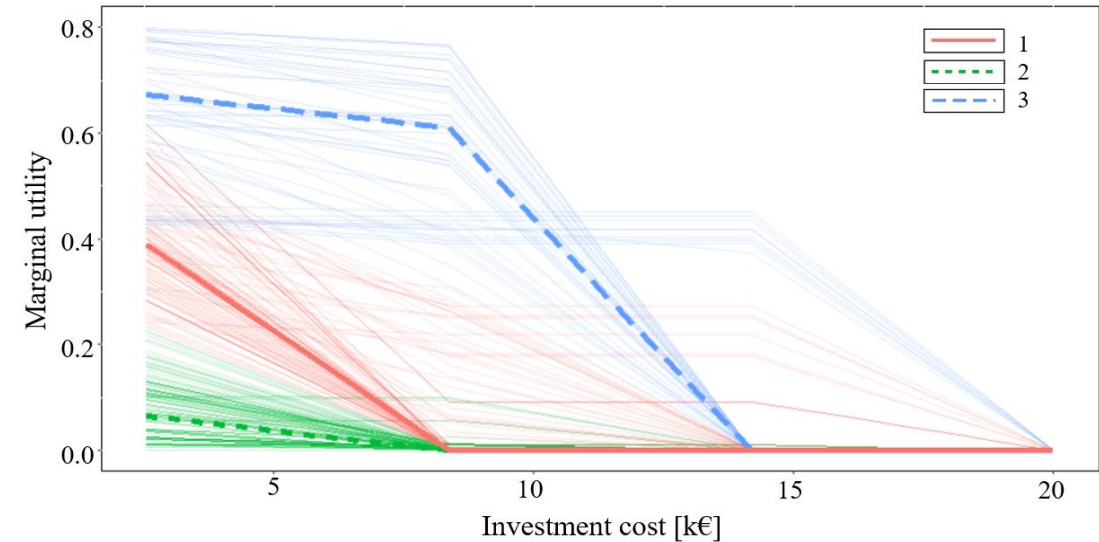
The estimation of the most suitable number of clusters was based on the silhouette metric.

3 clusters for investment cost, energy savings, and self-sufficiency

2 clusters for cost savings

A sensitivity test was conducted to evaluate the detriment in the ranking accuracy by applying the characteristic value functions to the learning sets

87.1% accuracy across all the pairwise comparisons



Step 2_ Assignment to types of individuals

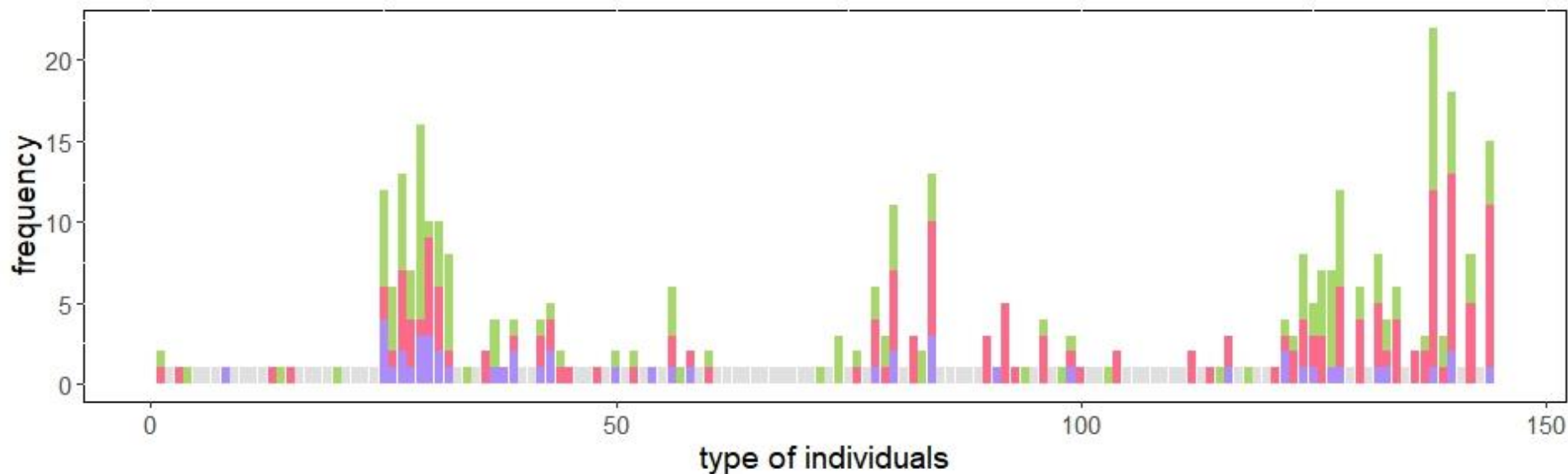
Assignment of value functions to types of individuals

Supervised classification algorithms to calculate the probability of different types of individuals to belong to one cluster or another.

$$Accuracy = \frac{TP + TN}{TP + TN + FP + FN}$$

$$Weighted F - 1 score = \sum_{i=1}^n w_i * F - 1score_i$$

| Algorithm | Tuning params |
|---|---------------|
| Naive Bayes | 3 |
| Support Vector Machine (linear) | 3 |
| Support Vector Machine (Poly) | 3 |
| Rule Based Classifier (JRip) | 3 |
| Rule-Based Classifier (PART) | 2 .532 |
| C5.0 | 3 |
| Single5.0Tree | - |
| C4.5-like Trees J48 | 2 |
| Penalized Multinomial Regression | 1 |



Step 2_ Assignment to types of individuals

| Type | Age, Edu_lev, HH_size, HH_inc | Gender | HH_prop | Inv cost | | Cost sav | | Emis sav | | SSuff | |
|------|---|--------|---------|----------|-------------|----------|-------------|----------|-------------|-------|-------------|
| | | | | Class | % | Class | % | Class | % | Class | % |
| 113* | | fem | rent | 1 | 56.2 | 1 | 86.7 | 1 | 27.9 | 1 | 70.6 |
| | | | | 2 | 37.5 | 2 | 13.3 | 2 | 48.8 | 2 | 23.5 |
| | | | | 3 | 6.2 | - | | 3 | 23.2 | 3 | 5.9 |
| 114 | Age = 35-60, Edu_lev = Dgr_Y, HH_size = 3+, HH_inc = 28-75k€ | fem | own | 1 | 32.0 | 1 | 80.0 | 1 | 24.5 | 1 | 40.9 |
| | | | | 2 | 61.5 | 2 | 20.0 | 2 | 15.7 | 2 | 27.2 |
| | | | | 3 | 6.5 | - | | 3 | 59.8 | 3 | 31.8 |
| 115 | | mal | rent | 1 | 56.2 | 1 | 86.7 | 1 | 27.9 | 1 | 70.6 |
| | | | | 2 | 37.5 | 2 | 13.3 | 2 | 48.8 | 2 | 23.5 |
| | | | | 3 | 6.2 | - | | 3 | 23.2 | 3 | 5.9 |
| 116 | | mal | own | 1 | 32.0 | 1 | 65.8 | 1 | 24.5 | 1 | 40.9 |
| | | | | 2 | 61.5 | 2 | 34.2 | 2 | 15.7 | 2 | 27.2 |
| | | | | 3 | 6.5 | - | | 3 | 59.8 | 3 | 31.8 |

*unobserved type

Step 3_ Population synthesis

Population synthesis refers to the process of creating a simplified microscopic representation of an actual population that matches the aggregated statistical measures of the actual population

Marginal distribution data are usually provided with a coarser aggregation at a higher spatial resolution.

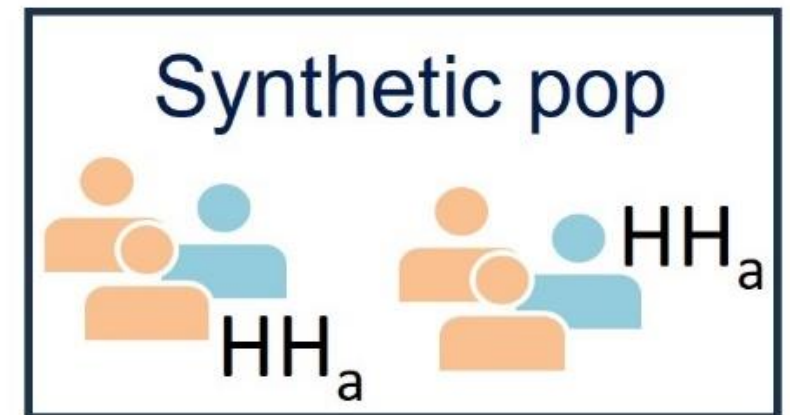
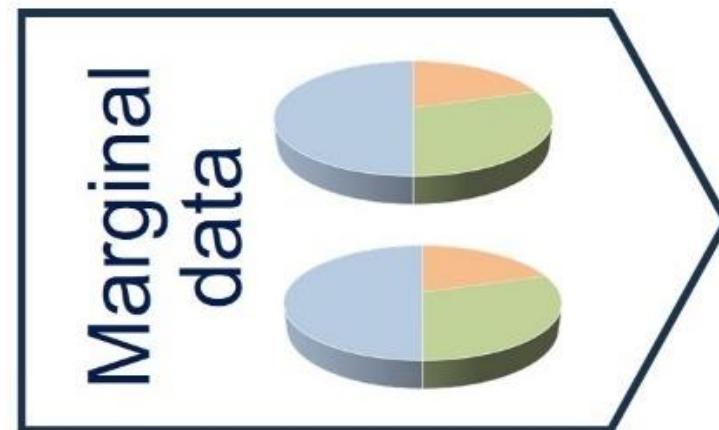
Disaggregated data at the individual level are usually provided at the regional or national scale.

Synthetic population is generated expanding the disaggregated dataset (Seeds) to match the marginal distribution of socio-demographic characteristics in the area thus reconstructing the individual vectors of socio-demographic characteristics of a population.

Source of datasets: ISTAT

Seed dataset: "Aspect of daily life"

Marginal data: National Census



Step 3_ Population synthesis

SEZ21_ID = 12720000001

| HH_ID | Person number | Age (y.o.) | Gender | Education level | Employed | Income source | HH-property |
|-------|---------------|------------|--------|-----------------|----------|---------------|-------------|
| 1 | 1 | 30-34 | Male | High school | Yes | Employee | Owned |
| 1 | 2 | 25-29 | Female | High school | No | Allowance | Owned |
| 2 | 1 | 50-54 | Male | Secondary | No | Pension | Owned |
| 3 | 1 | 55-59 | Female | Primary | No | Allowance | Owned |
| 4 | 1 | 30-34 | Female | High school | Yes | Self-empl | Owned |
| 5 | 1 | 35-39 | Male | Degree/+ | Yes | Employee | Owned |
| 5 | 2 | 35-39 | Female | Degree/+ | Yes | Self-empl | Owned |
| 5 | 3 | 11-13 | Male | Primary | N.A. | N.A. | Owned |

...

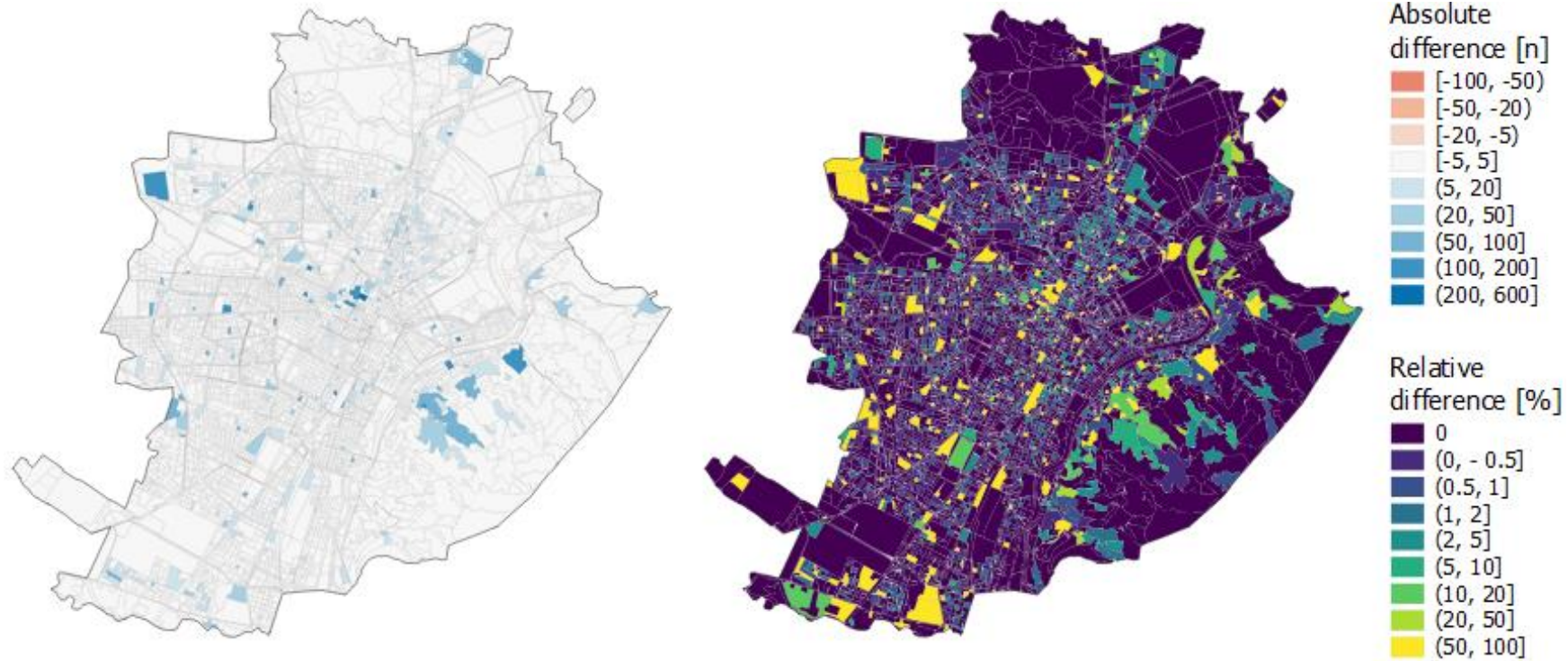
SEZ21_ID = 12728888888

| HH_ID | Person number | Age (y.o.) | Gender | Education level | Employed | Income source | HH-property |
|--------|---------------|------------|--------|-----------------|----------|---------------|-------------|
| 433469 | 1 | 25-29 | Female | High school | Yes | Support | Owner |
| 433469 | 2 | 3-5 | Male | N.A. | N.A. | N.A. | Owner |

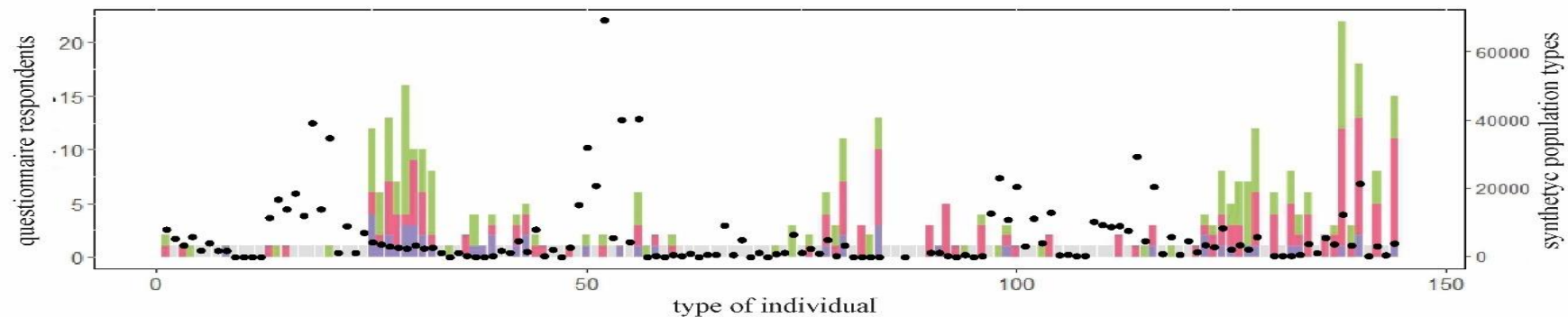
...

837,559 individuals
433,469 households

Step 3_ Population synthesis



Number of inhabitants:
difference between census
data and synthetic population
generated.



Distribution of types
Comparison between
distribution of types of
individuals in the synthetic
population and in the data
from questionnaire

Step 4_Estimation of acceptance

Once the distribution of types of individuals is estimated, the two decision models have been spatialized pivoting on socio-demographic characteristics.

Percentage of population likely to accept a REC solution:

$$P_i(Y = 1) = \frac{\sum_{x=1}^n Pr_{x,i}}{n}$$

P_i = percentage of population likely to accept alternative i ,

$Pr_{x,i}$ = probability of an individual x to accept alternative i .

Preferred alternative based on total utility for different types of individuals:

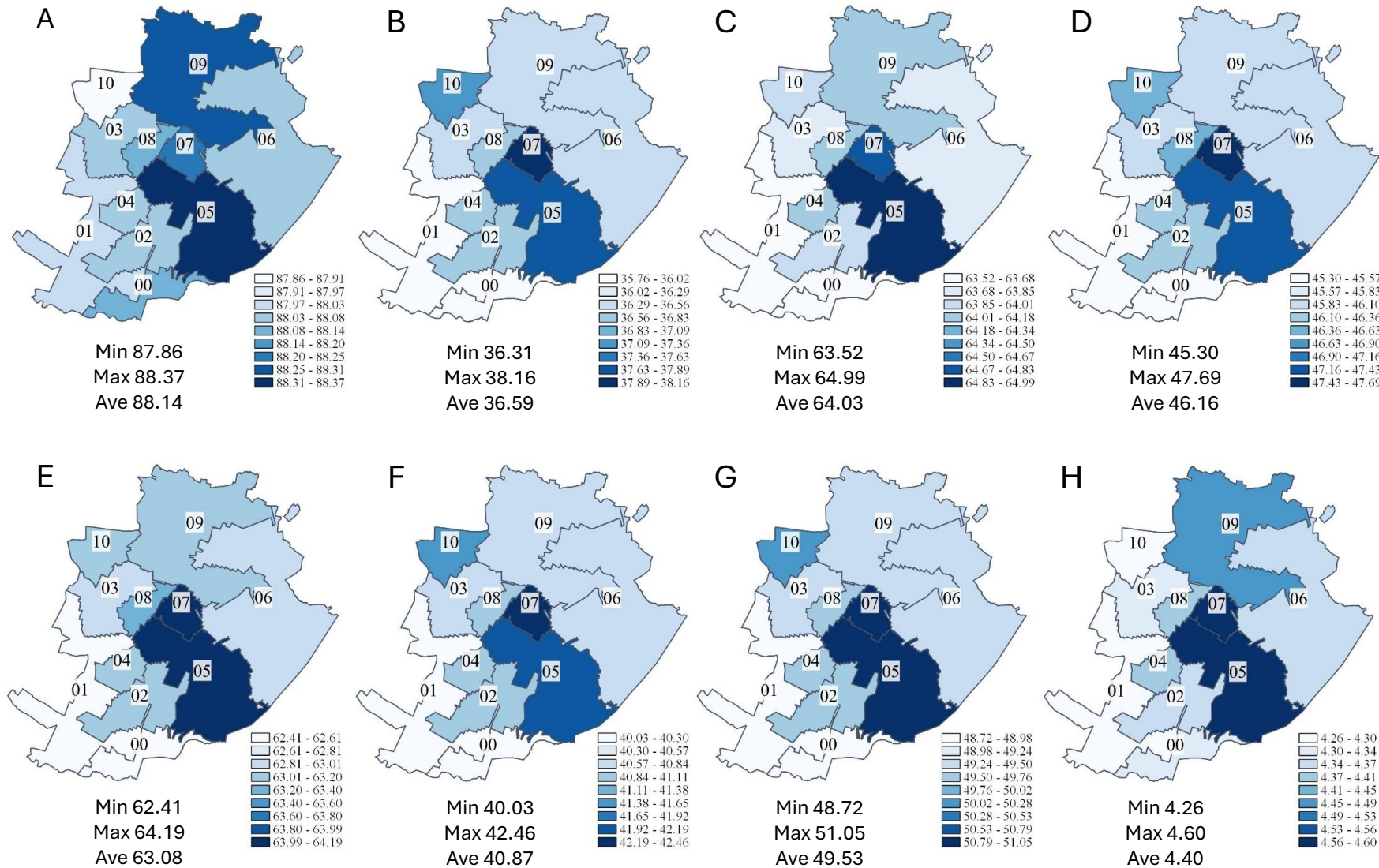
$$U_{tot,i,x} = \sum Pr_{c,a,x} * U_{m,c,a,i}$$

$U_{tot,i}$ = total utility of alternative i for an individual x ,

$Pr_{c,a,x}$ = probability for the individual to be assigned one of the characteristic value function c , relative to attribute a

$U_{m,c,a,i}$ = marginal utility for attribute a of alternative i , calculated using value function c .

Step 4_Estimation of acceptance



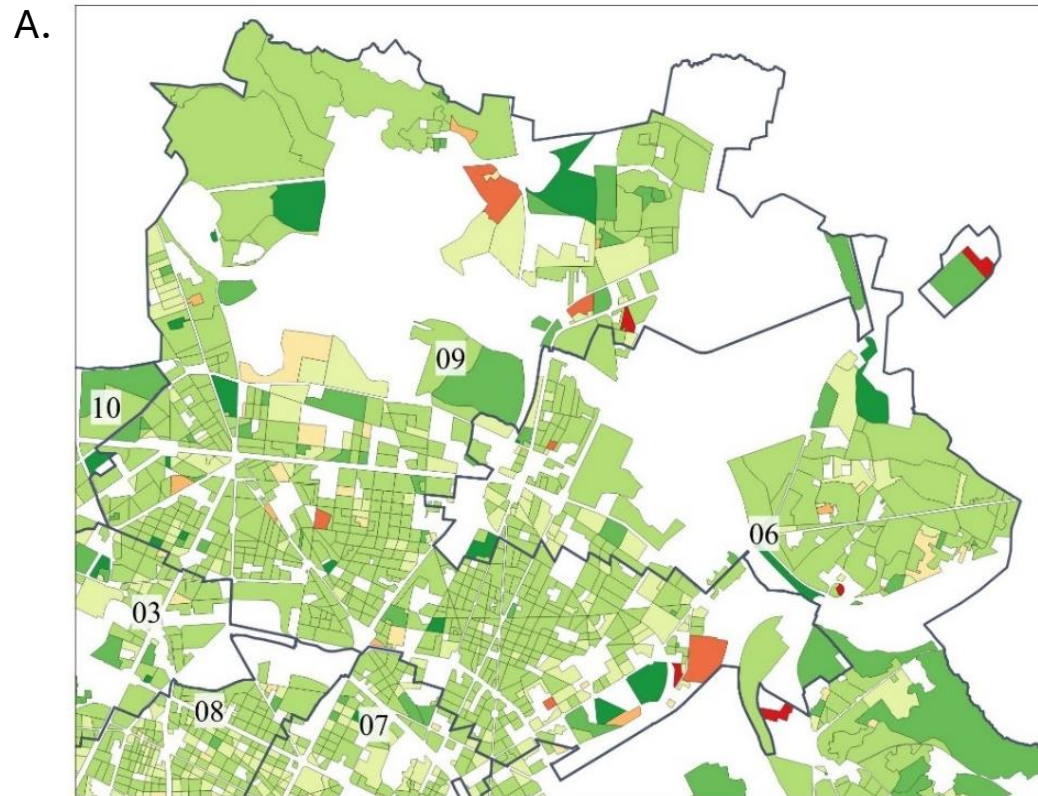
Difference of less than 3% in acceptability of the same alternative across HV/MV sub-stations

Higher percentage of population likely to accept any alternative RECs in the central and south-eastern part of the city (HV/MV substations 05 and 07) . This percentage radially decreases moving toward the outskirts of the city, with an exception represented by alternative A and H due to effect of socio-demographic moderators.

Step 4_Estimation of acceptance

Focus on the percentage of simulated population likely to accept Alternative A at the census track scale for the city of Turin.

It is possible to notice a fair increase in the variation of percentage of population likely to accept the alternative across the different census tracks clustered in the same HV/MV substation.



The “Public” option

Public-oriented alternatives

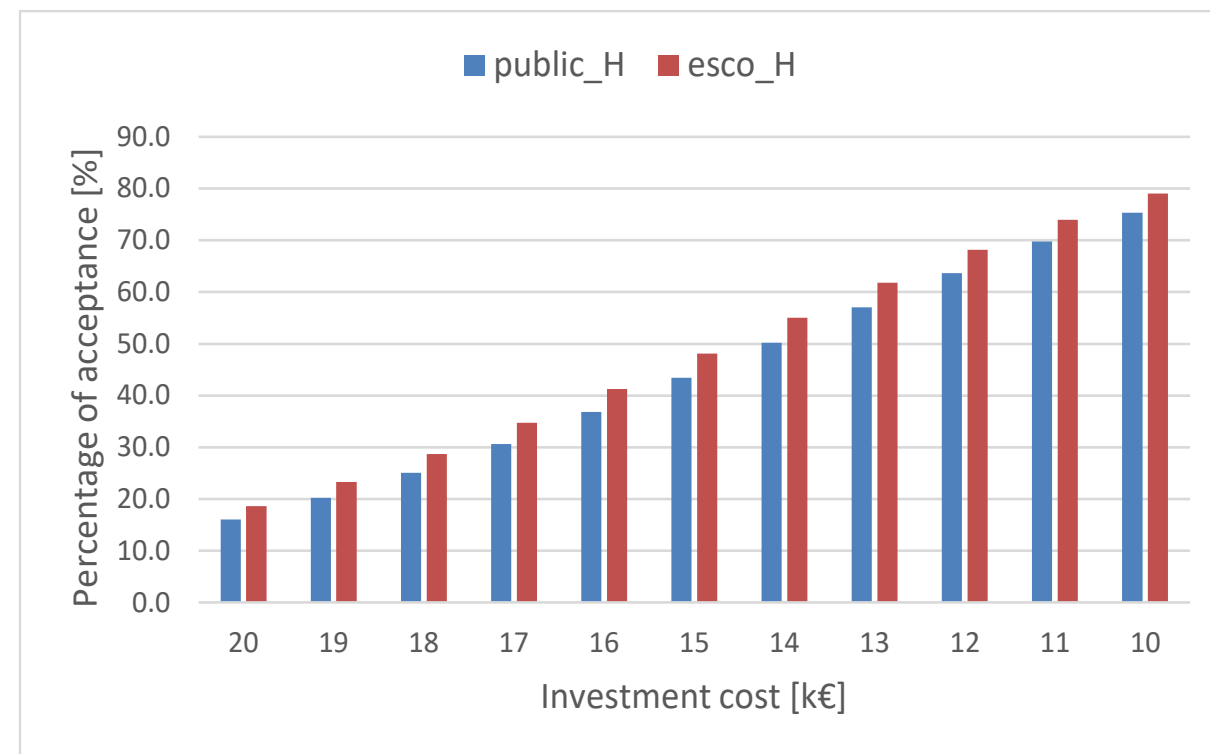
Final analysis combines the two approaches used to evaluate the percentage of population likely to accept to participate in a REC, and to assess the most probably preferred alternative across the population of the city. The goal is to identify the trade-offs between the performance of a potentially widely accepted alternative and those of a “public oriented” one.

Analysis of the performance changes of the alternative maximizing CO₂ emission reduction to increase its desirability

| Alternative | Investment Cost [€] | Cost Savings [€] | Emission Savings [kgco ₂] | Selfsufficiency [%] |
|-------------|---------------------|------------------|---------------------------------------|---------------------|
| A | 9400 | 1300 | 2600 | 82 |
| public_H | 20000 | 1650 | 3100 | 40 |
| Esco_H | 20000 | 1300 | 3100 | 60 |

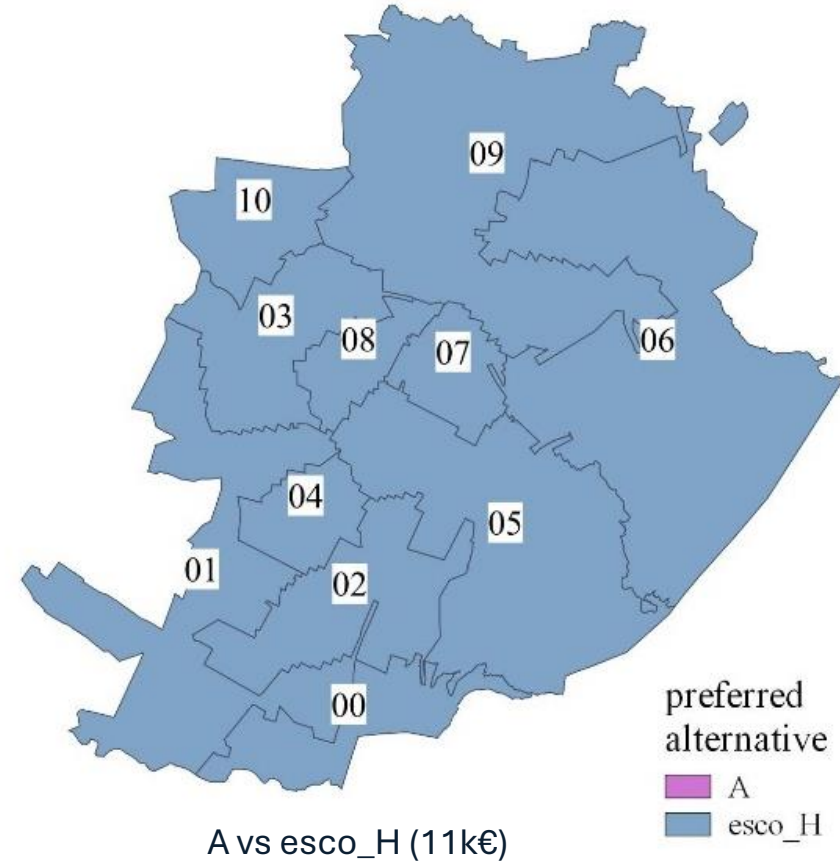
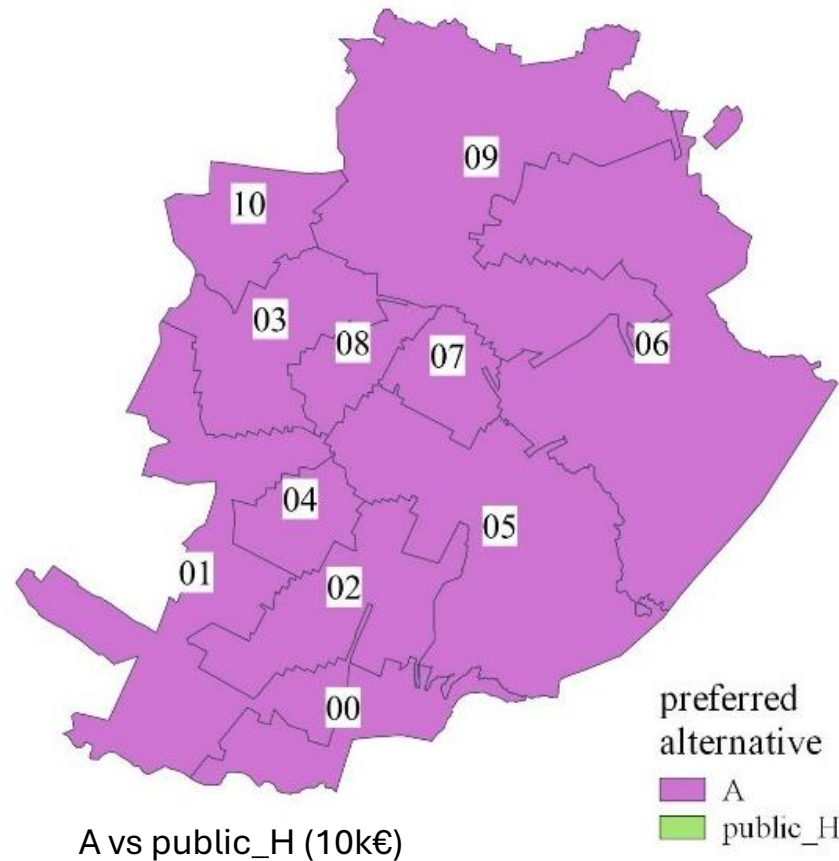
public H → increases the self-sufficiency performance of the original alternative H to the average of the alternatives.

esco_H → further increases self-sufficiency performance while reducing the cost savings to tests for external investor (ESCO) impact



Preference evaluation

Value function determination refined in 5-segment piecewise curves.



- preference for higher level of self-sufficiency over additional increase in cost savings.
- total financial support from third-party to guarantee that alternative esco_H becomes the preferred one is 3,901,221,000€ (9000€/apartments*433,469 apartments)

Conclusions

Limitations and improvements

Data collection

dataset size & population
sampling technique

- responses retrieved from the survey cannot be considered as explanatory of the actual population

alternatives construction

- construction of location specific alternatives to better define the performance range of the alternatives
- uneven distribution of performance determined a lack of discriminatory information in the modeling

Modellization

value functions estimation

- several sets of possible value functions could have been identified (especially for small learning sets)

clustering of the individual
value functions

- the definition of the characteristic value function might be performed by minimizing the observed ranking errors

unbalanced distribution of
the collected questionnaire

- impossibility to test for variation in acceptability and preferences specifically related to the residency location of the respondents (random effect in GLMM)

The analysis is based on stated preferences

Conclusions

Q1 } *What are the **socio-demographic characteristics** of individuals and the **performance attributes** of potential alternatives that influence inhabitants' REC participation?*

- 144 types of individuals individuated by combining socio-demographic attributes (age, gender, size, income level, property, education level).

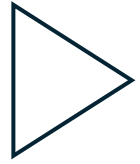
Q2 } *What is the potential of **REC diffusion at the city scale and in different parts of the city**, and which are the most probable solutions to be implemented **based on the inhabitants' preference models**?*

- individuals show interest for self-sufficiency (alternative A with 88% estimated acceptance);
- lower acceptance for alternatives maximizing GHG emission reductions and cost savings but with high investment cost (alternative H with 4.4% estimated acceptance).
- higher variation at the census track scale (around 20%).

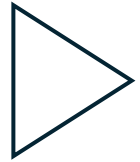
Q3 } *What strategies could foster the **alignment of the private initiative** with the public perspective to **maximize the positive impacts of RECs implementation**?*

- total financial support from third-party to guarantee that alternative esco_H becomes the preferred one is 3,901,221,000€

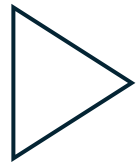
Conclusions



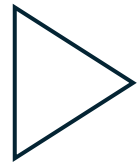
It should be stressed that the present application represents a proof of concept for the applicability of the proposed method. Even if the method could be replicated in other urban areas, the results of this specific application could not be directly generalized.



Results could assist the Decision-makers in tailoring and fine-tuning the enabling framework to promote the acceptance of renewable energy communities and participation in such schemes.



It is possible to consider the method as a predictive tool to forecast decision outcomes in different scenarios of implementation of a REC, supporting the Decision-maker in estimating the possible realization of one or the other of the possible scenario at the disposal of the individuals.



Extension of the study could be to analyze trade-offs between REC attributes for different groups of individuals to propose customized participatory models in order to optimize the economic resources involved while maximizing the probability of participation.

From Multiple-Criteria Decision Aid to Preference Learning

16, 17 April - Brussels, Belgium

Thank you for your attention

Assessing inhabitants' preference towards Energy Community participation: a preference learning approach

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